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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

debbie.allen@bakerbotts.com

Office Action Summary		Applicat	ion No.	Applicant(s)				
		10/829,6	667	THALER, ARNOLD				
		Examine	er	Art Unit				
		SHAHID	KAMAL	3621				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
2a)⊠	Responsive to communication(s) file This action is FINAL . Since this application is in condition closed in accordance with the practi	2b)∏ This action is for allowance excep	ot for formal matters, p		merits is			
Dispositi	on of Claims							
5)□ 6)⊠ 7)□ 8)□ Applicati 9)□	Claim(s) 1-52 is/are pending in the a 4a) Of the above claim(s) is/a Claim(s) is/are allowed. Claim(s) 1-52 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restrict on Papers The specification is objected to by the	re withdrawn from or ction and/or election e Examiner.	requirement.					
 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. 								
Priority u	nder 35 U.S.C. § 119							
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 								
2) D Notic 3) Notic	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (F nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date <u>07/25/2008</u> .	PTO-948)	4) Interview Summa Paper No(s)/Mail 5) Notice of Informa 6) Other:					

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DETAILED ACTION

Acknowledgements

- 1. Claims 1-52 are remain pending and have been examined.
- 2. This Office Action is responsive to the amendment filed on July 25, 2008.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 4. Claims 1-7, 9,12, 14-15, 19-20, 41-46, 50, and 52 are rejected under 35 U.S.C. 102(e) as anticipated by Appalucci et al. (US Pub. No. 2003/0057276 A1) ("Appalucci").

Referring to claim 1, Appalucci discloses the following:

- a) a product (item) having control circuits (barcode) (Paragraph 0004 –standard barcode label of the type which may be used for identifying an item during a manufacturing process); and
- b) a verification and activation module (RFD tags) coupled to the control circuits (barcode) of the product (item), wherein the verification and activation module activates the control circuits

(barcode) of the product (item) (Paragraph 0004 –standard barcode label of the type which may be used for identifying an item either during a manufacturing process, during storage or shipment, at the time of checkout from a retailer or other facility).

Referring to claim 2, Appalucci discloses wherein the verification and activation module (RFD tags) is removably coupled to the product (item) (Paragraph 0004 –RFD tags are removable).

Referring to claim 3, Appalucci discloses wherein the control circuits of the product are deactivated when the verification and activation module is not coupled to the product (Paragraph 0021).

<u>Referring to claim 4</u>, Appalucci discloses wherein the verification and activation module is programmed with information (Paragraphs 0005, 0020).

Referring to claim 5, Appalucci discloses wherein the programmed information comprises purchase date and price of the product (Paragraph 0032).

<u>Referring to claim 6</u>, Appalucci discloses wherein the programmed information comprises warranty information for the product (Paragraph 0032).

Referring to claim 7, Appalucci discloses wherein the programmed information comprises data about a consumer who purchased the product (Paragraph 0024).

Referring to claim 9, Appalucci discloses wherein the programmed information comprises data about the product (Paragraph 0004).

Referring to claim 12, Appalucci discloses wherein the product comprises verification and activation circuits (Paragraph 0004).

Referring to claim 14, Appalucci discloses a security feature that deactivates the product when outside of a geographical location (Paragraph 0019).

Referring to claim 15, Appalucci discloses a security feature that deactivates the product when a security signal is not present (Paragraph 0021).

Referring to claim 19, Appalucci discloses a communications interface coupled to the verification and activation module (Paragraph 0004).

Referring to claim 20, Appalucci discloses wherein the communications interface is selected from the group consisting of WIFI and Bluetooth (Paragraph 0004).

Referring to claim 41, Appalucci discloses wherein the communication is wireless (Paragraph 0004).

Referring to claim 42, Appalucci discloses wherein the communication is by wire (Paragraph 0004).

Referring to claim 43, Appalucci discloses the following:

- a) reading product information from a universal product code (UPC) label (Paragraph 0005);
 - b) entering consumer information (Paragraph 0004);
- c) programming the product information and the consumer information into an activation module (Paragraph 0004); and
 - d) activating the product (item) with the activation module (Paragraph 0004).

Referring to claim 44, Appalucci discloses the step of programming store information into the activation module (Paragraph 0004).

<u>Referring to claim 45</u>, Appalucci discloses the step of programming warranty information into the activation module (Paragraph 0032).

<u>Referring to claim 46</u>, Appalucci discloses the step of programming purchase date and price of the product into the activation module (Paragraph 0032).

Referring to claim 50, Appalucci discloses providing a product having a verification and activation module (Paragraph 0004); and communicating with the verification and activation module such that the product is enabled for operation when a correct security code is communicated to the verification and activation module (Paragraph 0004).

Referring to claim 52, Appalucci discloses wherein the product service information is selected from the group consisting of warranty repair and replacement of the product (Paragraph 0003).

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claims 8, 10-11, 13, 16-18, 21-40, 47-49, and 51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Appalucci et al. (US Pub. No. 2003/0057276 A1) ("Appalucci") in view of Forth et al. (US Pub. No.: 2004/0205343 A1) ("Forth").

Referring to claim 8, Appalucci does not expressly disclose wherein the programmed information comprises data about a manufacturer of the product.

Forth discloses wherein the programmed information comprises data about a manufacturer of the product (Paragraphs 0005, 0020, 0048).

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Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 10, Appalucci does not expressly disclose wherein the verification and activation module comprises a non-volatile programmable memory.

Forth discloses wherein the verification and activation module comprises a non-volatile programmable memory (Paragraphs 0033, 0040).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 11, Appalucci does not expressly disclose wherein the non-volatile memory is selected from the group consisting of electrically erasable and programmable read only memory (EEPROM), Flash memory and battery backed-up random access memory (RAM).

Forth discloses wherein the non-volatile memory is selected from the group consisting of electrically erasable and programmable read only memory (EEPROM), Flash memory and battery backed-up random access memory (RAM) (Paragraph 0065).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 13, Appalucci does not expressly disclose wherein the verification and activation module comprises a non-volatile programmable memory, and verification and activation circuits.

Forth discloses wherein the verification and activation module comprises a non-volatile programmable memory, and verification and activation circuits (Paragraphs 0033, 0040).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for

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the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 16, Appalucci does not expressly disclose wherein warranty history of the product is stored in the non-volatile memory.

Forth discloses wherein warranty history of the product is stored in the non-volatile memory (Paragraphs 0033, 0040).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 17, Appalucci does not expressly disclose wherein repair history of the product is stored in the non-volatile memory.

Forth discloses wherein repair history of the product is stored in the non-volatile memory (Paragraphs 0033, 0040).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for

the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 18, Appalucci does not expressly disclose wherein maintenance history of the product is stored in the non-volatile memory.

Forth discloses wherein maintenance history of the product is stored in the non-volatile memory (Paragraphs 0033, 0040).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 21, Appalucci discloses a product (item) (Paragraph 0004); a verification and activation module (Paragraph 0004); and a module programmer for programming the verification and activation module, the module programmer is coupled to the point of sale terminal, wherein information from the point of sale terminal is programmed into the verification and activation module so that the product is activated when coupled to the verification and activation module (Paragraph 0004).

Appalucci does not expressly disclose a point of sale terminal.

Forth discloses a point of sale terminal (Paragraph 0050).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 22, Appalucci discloses a package, wherein the product is in the package (Paragraph 0004).

Referring to claim 23, Appalucci discloses wherein the verification and activation module is in the package (Paragraph 0004).

Referring to claim 24, Appalucci discloses a universal product code(UPC) label on the package (Paragraph 0005).

Referring to claim 25, Appalucci discloses a UPC reader coupled to the point of sale terminal, wherein part of the information programmed into the verification and activation module is from the UPC label (Paragraph 0005).

Referring to claim 26, Appalucci discloses a credit card reader that is adapted to read a credit card, the credit card reader is coupled to the point of sale terminal, wherein some of the

information programmed into the verification and activation module is from the credit card (Paragraph 0004).

Referring to claim 27, Appalucci discloses wherein the programmed information comprises purchase date and price of the product (Paragraph 0032).

Referring to claim 28, Appalucci discloses wherein the programmed information comprises warranty information for the product (Paragraph 0032).

Referring to claim 29, Appalucci discloses wherein the programmed information comprises data about a consumer who purchased the product (Paragraph 0024).

Referring to claim 30, Appalucci does not expressly disclose wherein the programmed information comprises data about a manufacturer of the product.

Forth discloses wherein the programmed information comprises data about a manufacturer of the product (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 31, Appalucci discloses wherein the verification and activation module includes an RFID device and the module programmer comprises an RFID programmer (Paragraph 0004).

Referring to claim 32, Appalucci discloses wherein the RFID programmer further comprises an RFID reader for reading information stored in the RFID device (Paragraph 0004).

Referring to claim 33, Appalucci discloses a package (Paragraph 0004); a product in the package (Paragraph 0004); a verification and activation module in the package (Paragraph 0004); a universal product code (UPC) label on the package (Paragraph 0005); a UPC reader (Paragraph 0005); and a module programmer for programming the verification and activation module, the module programmer is coupled to the point of sale terminal, wherein information from the UPC reader and the point of sale terminal are programmed into the verification and activation module (Paragraph 0005).

Appalucci does not expressly disclose a point of sale terminal coupled to the UPC reader. Forth discloses a point of sale terminal coupled to the UPC reader (Paragraph 0050).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for

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the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 34, Appalucci discloses wherein the information from the UPC reader and the point of sale terminal are programmed into the verification and activation module by wireless transmission (Paragraph 0005).

<u>Referring to claim 35</u>, Appalucci discloses wherein the wireless transmission is by radio frequency signals (Paragraph 0004).

<u>Referring to claim 36</u>, Appalucci discloses wherein the wireless transmission is by infrared signals (Paragraph 0004).

<u>Referring to claim 37</u>, Appalucci discloses wherein the wireless transmission is by electromagnetic signals (Paragraph 0004).

Referring to claim 38, Appalucci disclose an original product (item) (Paragraph 0004); a verification and activation module (RFD tags) coupled to the original product (item) (Paragraph 0004).

Appalucci does not expressly disclose a replacement product, wherein when the verification and activation module (RFD tags) is removed from the original product and coupled to the

replacement product, the replacement product is enabled for operation and the original product is disabled from operation.

Forth discloses a replacement product, wherein when the verification and activation module (RFD tags) is removed from the original product and coupled to the replacement product, the replacement product is enabled for operation and the original product is disabled from operation (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 39, Appalucci does not expressly disclose wherein once the replacement product has been enabled for operation by the verification and activation module, the original product cannot be enabled again by the verification and activation module.

Forth discloses wherein once the replacement product has been enabled for operation by the verification and activation module, the original product cannot be enabled again by the verification and activation module (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary

identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 40, Appalucci discloses an original product having a first verification and activation module (Paragraph 0004).

Appalucci does not expressly disclose a replacement product having a second verification and activation module, wherein when the first verification and activation module is in communication with the second verification and activation module, the replacement product is enabled for operation and the original product is disabled from operation.

Forth discloses a replacement product having a second verification and activation module, wherein when the first verification and activation module is in communication with the second verification and activation module, the replacement product is enabled for operation and the original product is disabled from operation (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 47, Appalucci does not expressly disclose the step of programming data about a manufacturer of the product into the activation module.

Forth discloses the step of programming data about a manufacturer of the product into the activation module (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 48, Appalucci discloses providing an original product having a verification and activation module (Paragraph 0004); providing a replacement product (Paragraph 0004).

Appalucci does not expressly discloses removing the verification and activation module from the original product; and installing the verification and activation module in the replacement product, wherein the replacement product is enabled for operation and the original product is disabled from operation.

Forth discloses removing the verification and activation module from the original product (Paragraphs 0005, 0020, 0048); and installing the verification and activation module in the replacement product, wherein the replacement product is enabled for operation and the original product is disabled from operation (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 49, Appalucci does not expressly disclose providing an original product having a first verification and activation module; providing a replacement product having a second verification and activation module; and communicating between the first and second verification and activation modules such that the replacement product is enabled for operation and the original product is disabled from operation.

Forth discloses providing an original product having a first verification and activation module (Paragraphs 0005, 0020, 0048); providing a replacement product having a second verification and activation module (Paragraphs 0005, 0020, 0048); and communicating between the first and second verification and activation modules such that the replacement product is enabled for operation and the original product is disabled from operation (Paragraphs 0005, 0020, 0048).

Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have modified of Appalucci for a method and system for non-contact automated verification of the correctness of the identity of an item having an associated primary identifier with the features of Forth for a pharmaceuticals tracking system in order to provide for

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the activation computer is configured to receive destination information for the package along with the activation code.

Referring to claim 51, Appalucci discloses providing a product having a verification and activation module, wherein the verification and activation module has a non-volatile memory (Paragraph 0004); and writing into the non-volatile memory service information (Paragraph 0004).

Examiner's Note:

7. The Examiner has pointed out particular references contained in the prior art of record within the body of this action for the convenience of the Applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply. Applicant, in preparing the response, should consider fully the entire reference as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

Response to Arguments

- 8. Applicant's arguments filed on July 25, 2008 have been fully considered but they are not persuasive.
- 9. As per claims 1-7, 9, 12, 14, 15, 19, 20, and 43-46, Applicant argues "Appalucci does not disclose a product (item) having control circuits (barcode); and a verification and activation

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module (RFD tags) coupled to the control circuits (barcode) of the product (item), wherein the verification and activation module activates the control circuits (barcode) of the product (item) (response pages 13-16)". Appalucci teaches a product (item) having control circuits (barcode) (Paragraph 0004 –standard barcode label of the type which may be used for identifying an item during a manufacturing process); and a verification and activation module (RFD tags) coupled to the control circuits (barcode) of the product (item), wherein the verification and activation module activates the control circuits (barcode) of the product (item) (Paragraph 0004 –standard barcode label of the type which may be used for identifying an item either during a manufacturing process, during storage or shipment, at the time of checkout from a retailer or other facility).

As per claims 8, 10, 11, 13, 16-18, 21-40, 47-49, and 51, further applicant also argues "Appalucci/Forth does not disclose wherein the verification and activation module comprises a non-volatile programmable memory. Forth discloses wherein the verification and activation module comprises a non-volatile programmable memory (response pages 16-19)". Appalucci/Forth teaches wherein the verification and activation module comprises a non-volatile programmable memory. Forth discloses wherein the verification and activation module comprises a non-volatile programmable memory (Paragraphs 0033, 0040).

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Conclusion

10. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shahid Kamal whose telephone number is (571) 270-3272. The examiner can normally be reached on MONDAY through THURSDAY between the hours of 8:30 AM and 7 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew J. Fischer can be reached on (571) 272-6779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300 for Regular/After Final Actions and 571-273-6714 for Non-Official/Draft.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

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Should you have questions on access to the Private PAIR system, contact the Electronic

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9199 (IN USA OR CANADA) or 571-272-1000.

Shahid Kamal October 25, 2008

/EVENS J. AUGUSTIN/

Examiner, Art Unit 3621